

LAW OFFICES
MILLEN, WHITE, ZELANO & BRANIGAN, P.C.
ARLINGTON COURTHOUSE PLAZA I
SUITE 1400
2200 CLARENDON BOULEVARD
ARLINGTON, VIRGINIA 22201

TELEPHONE: (703) 243-6333
CABLE: USALAW
INT'L TELEX: 64191
TELECOPIER: (703) 243-6410

Atty's Docket No. MERCK-2

09/01/00
09/654516
jcc69 U.S. PTO



Applicant(s) : Louise FARRAND *et al.*

For : MULTIREACTIVE POLYMERIZABLE MESOGENIC COMPOUNDS

THE COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

Sir:

Herewith is the above-identified application for Letters Patent including:

- ☒ Specification and claims (47 pages) ☐ Verified statement(s) to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27
- ☐ _____ Sheets Drawings ☐ Information Disclosure
- ☐ Formal ☐ Informal
- ☒ Declaration and Power of Attorney ☐ Preliminary Amendment
- ☒ A check in the amount of \$ 690.00 is attached.
- ☐ Please charge my Deposit Account No. 13-3402 in the amount of \$ _____.
Two copies of this sheet are attached.

CLAIMS AS FILED					
	FOR	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE \$ 690.00
	TOTAL CLAIMS	16 -20=	0	x 18.00	\$0.00
	INDEPENDENT CLAIMS	1 - 3 =	0	x 78.00	\$0.00
	<input type="checkbox"/> Multiple Dependent Claim Presented				
			TOTAL FILING FEE		\$ 690.00

- ☒ The benefit under 35 U.S.C. §119 is claimed of the filing date of:

European Application No. 99 116 849.2, filed September 3, 1999.

- ☐ A certified copy of the priority document(s) is attached.

- ☒ The Commissioner is hereby authorized to charge any deficiencies in payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 13-3402.
- ☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayments to Deposit Account No. 13-3402, two copies of this sheet are being enclosed.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☒ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
- ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

MILLEN, WHITE, ZELANO & BRANIGAN, P.C.

DATE: September 1, 2000

BY:
John A. Sopp (Registration No. 33,103)

Multireactive Polymerizable Mesogenic Compounds

5 The invention relates to multireactive polymerizable mesogenic compounds, to polymerizable liquid crystal compositions comprising the multireactive compounds, to linear or crosslinked liquid crystal polymers obtainable from the multireactive compounds and the compositions comprising them, and to the use of the multireactive polymerizable mesogenic compounds, the polymerizable compositions and polymers obtained therefrom in optical elements, 10 liquid crystal displays, adhesives, synthetic resins with anisotropic mechanical properties, cosmetics, diagnostics, liquid crystal pigments, decorative and security applications, nonlinear optics and optical information storage.

15 Background of the Invention

Polymerizable mesogenic compounds, which are also known as reactive mesogenic compounds, have been described in prior art for various purposes. For example, they can be aligned in their liquid crystal phase and subsequently polymerized in situ to give linear or 20 crosslinked liquid crystal polymer films with uniform orientation of high quality. These films can be used for example as optical elements, like polarization filters as described in EP 0 397 263, broadband circular polarizers as described in EP 0 606 940 and WO 97/35219, or compensation or retardation films as described in WO 25 98/00475, WO 98/04651 or WO 98/12584.

For many applications it is preferred to use crosslinked polymer films, as these show higher stability and lower temperature dependence of the optical properties, compared to films of linear polymers.

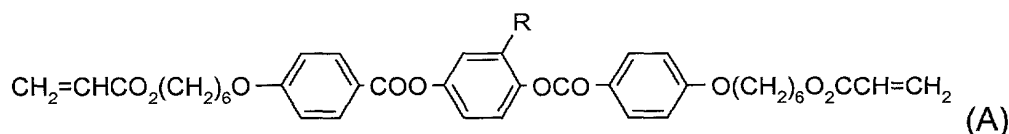
30 For this purpose, often polymerizable compositions are used which typically comprise a mixture of direactive and monoreactive polymerizable mesogenic compounds.

35 The terms reactive or polymerizable mesogenic compound as used in the foregoing and the following comprise compounds with a rod-

shaped, lath-shaped or disk-shaped mesogenic group, i.e. a group with the ability to induce mesophase behaviour. These compounds do not necessarily have to exhibit mesophase behaviour by themselves. It is also possible that these compounds show mesophase behaviour only in mixtures with other compounds or when the polymerizable mesogenic compounds or the mixtures comprising them are polymerized.

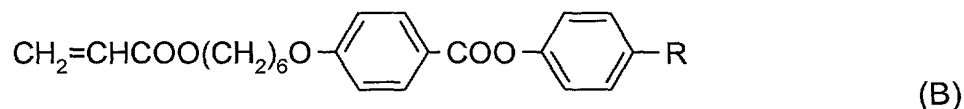
A di- or multireactive compound in this connection means a compound having a mesogenic group and two or more polymerizable terminal groups attached to one or both sides of the mesogenic group, either directly or via a spacer group. A monoreactive compound means a compound having a mesogenic group, to one side of which is attached a polymerizable terminal group, either directly or via a spacer, and to the other side of which is attached a non-polymerizable terminal group, like e.g. a polar group such as halogen or cyano or a chiral or achiral alkyl or alkoxy group.

A typical example of a direactive polymerizable mesogenic compound of the prior art is compound (A)



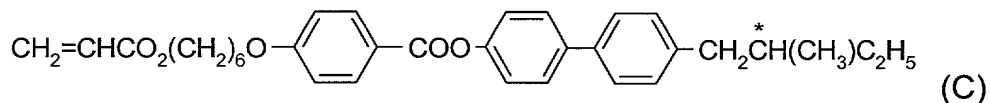
wherein R is H or CH₃, which is described in EP 0 331 233 and in D.J.Broer et al., Makromol.Chem. **190**, 2255-2268 (1989).

Typical examples of monoreactive polymerizable mesogenic compounds of the prior art are compounds (B) and (C)



wherein R is e.g. CN or OCH₃, which is described in DE 27 22 589,

- 3 -



which is described in DE 195,04,224.

Summary of the Invention

When preparing polymerizable mesogenic compositions it is possible, by varying the ratio of mono- and diactive compounds, to control the degree of crosslinking of the resulting polymer film, and thereby to tune its physical and chemical properties such as the glass transition temperature (in case of low or medium degree of crosslinking), the temperature dependence of the optical properties, the thermal and mechanical stability, and the stability against organic solvents.

Furthermore, by varying the terminal group of the monoreactive polymerizable mesogenic compound, it is possible to control the optical properties of the polymerizable mixture and the resulting film. For example, a helically twisted structure can be induced in the mixture and the film by using compounds with a chiral terminal group. Furthermore, the inventors have found that it is possible in a polymerizable mesogenic mixture to control the orientation of the mesogenic compounds on a substrate or on a free surface by using compounds with polar and unpolar terminal groups in varying ratios.

In light of what was said above, it would be desirable to have available polymerizable mesogenic compounds that, when used in a polymerizable mixture, allow control, at the same time of the degree of crosslinking and the optical properties of the resulting polymer film.

Furthermore, regarding the broad range of applications for polymerizable mesogenic compounds it is desirable for the expert to have available further compounds of this type which are easy to synthesize and fulfill the various requirements as described above.

The inventors have found that the above aims can be achieved by providing multireactive polymerizable mesogenic compounds according to the present invention.

5 The inventive compounds comprise two or more polymerizable groups attached to the same side of a mesogenic core, whereas to the other side of the mesogenic core there can be attached one or more polymerizable groups or a non-polymerizable terminal group, like e.g. a polar or unpolar group or a chiral or achiral group.

10 Thus, when adding multireactive compounds according to the present invention to a polymerizable mixture, the degree of crosslinking of the resulting polymer film is increased. At the same time it is possible to tailor the optical properties of the mixture and the polymer film by
15 using an inventive multireactive compound with an appropriately selected terminal group at its free end.

The term 'free end' in this connection denotes the side of the mesogenic group of the inventive mesogenic compounds where no
20 polymerizable group is attached. Thus, when using inventive compounds in a polymerizable mixture it is possible inter alia

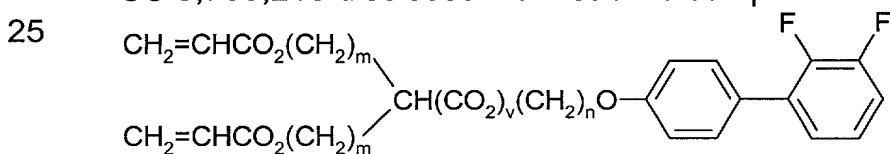
- to control the alignment of the mixture on a substrate or on a free surface by using inventive compounds with polar and unpolar
25 terminal groups at their free end in a specific ratio,
- to induce a helically twisted liquid crystal phase, such as a cholesteric or chiral phase, in the mixture by using an inventive compound with a chiral terminal group at its free end,
- to increase the birefringence of the mixture by using an inventive
30 compound with a highly birefringent terminal group at its free end,
- to increase the dielectric anisotropy of the mixture by using an inventive compound with a polar terminal group at its free end,

35 while the two or more polymerizable groups at the opposite end of the mesogenic core contribute to the crosslinking of the polymer.

The inventive multireactive compounds wherein only one side of the mesogenic group carries polymerizable groups, i.e. the other side is a free end, provide an additional benefit. In a polymerized film the free end of an inventive multireactive polymerizable compound will not be connected to the polymer backbone, in contrast e.g. to the direactive prior art compound (A) above, wherein both ends of the mesogenic core will be connected to the polymer backbone. As a consequence, the motions of the mesogenic groups of inventive compounds are less restricted by the polymer backbone during formation of the polymer network. This gives better alignment of the mesogenic groups and makes it easier to achieve uniform macroscopic orientation in a mixture comprising the inventive compounds.

On the other hand, inventive compounds carrying two or more polymerizable groups on each side of the mesogenic core are also advantageous, as these compounds give more densely crosslinked polymer networks with increased stability against heat, solvents and mechanical stress, and wherein the uniform macroscopic orientation is more stable. Also, in case of these compounds a smaller amount is needed in a polymerizable mixture to obtain a densely crosslinked film, compared e.g. to direactive compounds of prior art, such as the above shown compound (A).

US 5,750,213 discloses multireactive compounds of the formula



wherein m is 1, 6 or 12, n is 0, 6, 7, 11, 13 or 16 and v is 0 or 1. However, the compounds disclosed in US 5,750,213 are not substituted in p -position of the biphenyl group. They do not show a liquid crystal phase and are liquid at room temperature. These are serious drawbacks for the use in polymerizable LC mixtures, since compounds without a liquid crystal phase lower the clearing point of a liquid crystal mixture, and liquid polymerizable compounds show increased tendency towards spontaneous polymerization compared

to solid compounds, therefore they are less stable for storage, and increased amounts of stabilizers are needed to prevent undesired polymerization during storage.

- 5 One object of the present invention is multireactive polymerizable mesogenic compounds of formula I



- 10 wherein

15 R^1 is halogen, CN, OCN, NCS, NO_2 or a chiral or achiral alkyl radical with 1 to 30 C atoms which may be unsubstituted, mono- or polysubstituted by halogen or CN, it being also possible for one or more non-adjacent CH_2 groups to be replaced, in each case independently from one another, by -O-, -S-, -NH-, -N(CH_3)-, -CO-, -COO-, -OCO-, -OCO-O-, -S-CO-, -CO-S-, -CH=CH- or -C \equiv C- in such a manner that oxygen atoms are not linked directly to one another, or alternatively R^1 has one of the meanings of R^2 or is denoting P-(Sp-X) $_n$,

20

P is a polymerizable group,

25 Sp is a spacer group with 1 to 25 C atoms,

X is -O-, -S-, -CO-, -COO-, -OCO-, -OCO-O-, -CO-NH-, -NH-CO-, -OCH $_2$ -, -CH $_2$ O-, -SCH $_2$ -, -CH $_2$ S-, -CH=CH-COO-, -OOC-CH=CH- or a single bond,

30 n is 0 or 1,

MG is a mesogenic group, and

35 R^2 is straight-chain or branched alkyl with 1 to 25 C atoms which may be unsubstituted, mono- or polysubstituted by halogen or CN, it being also possible for one or more non-adjacent CH_2 groups to

be replaced, in each case independently from one another, by -O-,
-S-, -NH-, -N(CH₃)-, -CO-, -COO-, -OCO-, -OCO-O-, -S-CO-,
-CO-S-, -CH=CH- or -C≡C- in such a manner that oxygen atoms
are not linked directly to one another, and which is substituted with
at least two identical or different groups P.

Another object of the invention is a polymerizable mesogenic
composition comprising at least two components, at least one of
which is a compound of formula I.

Another object of the invention is a linear or crosslinked polymer
obtainable by polymerization of one or more compounds of formula I
or of a polymerizable composition comprising one or more
compounds of formula I.

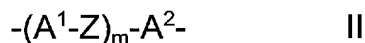
Yet another object of the invention is the use of a compound of
formula I, or a polymerizable composition or polymer obtainable
therefrom, in optical elements such as polarizers, optical retardation
or compensation films, alignment layers, colour filters or holographic
elements, in liquid crystal displays such as PDLC, polymer gel or
polymer stabilized cholesteric texture (PSCT) displays, in adhesives,
synthetic resins with anisotropic mechanical properties, cosmetics,
diagnostics or liquid crystal pigments, for decorative and security
applications, and for nonlinear optics or optical information storage.

Upon further study of the specification and appended claims, further
objects and advantages of this invention will become apparent to those
skilled in the art.

Particularly preferred are compounds of formula I wherein R¹ is a non-
polymerizable group.

Further preferred are compounds of formula I wherein R¹ has one of the
meanings of R². Of these compounds, very preferred are those wherein
R¹ and R² have the same meaning.

Further preferred are compounds of formula I wherein MG is selected of formula II



5

wherein

10

Z is in each case independently -O-, -S-, -CO-, -COO-, -OCO-, -CO-NH-, -NH-CO-, -CH₂CH₂-, -OCH₂-, -CH₂O-, -SCH₂-, -CH₂S-, -CH=CH-, -CH=CH-COO-, -OCO-CH=CH-, -C≡C- or a single bond,

15

20

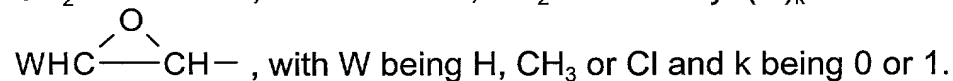
A¹ and A² are each independently 1,4-phenylene in which, in addition, one or more CH groups may be replaced by N, 1,4-cyclohexylene in which, in addition, one or two non-adjacent CH₂ groups may be replaced by O and/or S, 1,4-cyclohexenylene, 1,4-bicyclo(2,2,2)octylene, piperidine-1,4-diyl, naphthalene-2,6-diyl, decahydronaphthalene-2,6-diyl, or 1,2,3,4-tetrahydro-naphthalene-2,6-diyl, it being possible for all these groups to be unsubstituted, mono- or polysubstituted with F, Cl, OH, CN, NO₂ or alkyl, alkoxy, alkylcarbonyl or alkoxy carbonyl groups having 1 to 7 C atoms wherein one or more H atoms may be substituted by F or Cl, and

25

m is 1, 2 or 3.

30

Further preferred are compounds of formula I wherein P is selected from CH₂=CW-COO-, WCH=CH-O-, CH₂=CH-Phenyl-(O)_k- and



Further preferred are compounds of formula I wherein R² is substituted with 2, 3, 4 or 5 identical or different polymerizable groups P.

35

Particularly preferably R² is selected from the following subformulae



Ia

$$-X\text{-alkyl-C(CH}_2\text{P}^1)(\text{CH}_2\text{P}^2)\text{-CH}_2\text{P}^3 \quad \text{Ib}$$
$$\text{-X-alkyl-CHP}^1\text{CHP}^2\text{-CH}_2\text{P}^3 \quad \text{Ic}$$
$$\text{-X-alkyl-C(CH}_2\text{P}^1\text{)(CH}_2\text{P}^2\text{)-C}_a\text{H}_{2a+1} \quad \text{Id}$$
-X-alkyl-CHP¹-CH₂P² le-X-alkyl-CHP¹P² If
$$\text{-X-alkyl-CP}^1\text{P}^2\text{-C}_a\text{H}_{2a+1} \quad \text{lg}$$
$$\text{-X-alkyl-C(CH}_2\text{P}^1\text{)(CH}_2\text{P}^2\text{)-CH}_2\text{OCH}_2\text{-C(CH}_2\text{P}^3\text{)(CH}_2\text{P}^4\text{)CH}_2\text{P}^5 \quad \text{Ih}$$
$$\text{-X-alkyl-CH}((\text{CH}_2)_a\text{P}^1)((\text{CH}_2)_b\text{P}^2) \quad \text{li}$$
$$\text{-X-alkyl-CHP}^1\text{CHP}^2\text{-C}_a\text{H}_{2a+1} \quad \text{Ik}$$

wherein

alkyl is straight-chain or branched alkylene with 0 (i.e., a single bond) to 12 C atoms which may be unsubstituted, mono- or polysubstituted by halogen or CN, it being also possible for one or more non-adjacent CH₂ groups to be replaced, in each case independently from one another, by -O-, -S-, -NH-, -N(CH₃)-, -CO-, -COO-, -OCO-, -OCO-O-, -S-CO-, -CO-S-, -CH=CH- or -C≡C- in such a manner that oxygen atoms are not linked directly to one another,

a and b are identical or different integers from 0 to 6,

X has one of the meanings of formula I, and

P¹ to P⁵ have each independently one of the meanings of P given above.

In the above preferred subformulae alkyl is especially preferably -
(CH₂)_c-, with c being an integer from 0 to 12.

- 5 P and P¹ to P⁵ in formula I and the preferred subformulae are especially preferably, independently of each other, acrylate, methacrylate, vinyl, vinyloxy, epoxy or p-vinylphenoxy.

- 10 A smaller group of preferred mesogenic groups of formula II is listed below. For reasons of simplicity, Phe in these groups is 1,4-phenylene, PheL is a 1,4-phenylene group which is substituted by 1 to 4 groups L, with L being F, Cl, CN, OH, NO₂ or an optionally fluorinated alkyl, alkoxy or alkanoyl group with 1 to 7 C atoms, and Cyc is 1,4-cyclohexylene. The following list of preferred mesogenic groups comprises the subformulae II-1 to II-25 as well as their mirror images

- | | | |
|----|----------------------|-------|
| | -Phe-Z-Phe- | II-1 |
| | -Phe-Z-Cyc- | II-2 |
| 20 | -Cyc-Z-Cyc- | II-3 |
| | -PheL-Z-Phe- | II-4 |
| | -PheL-Z-Cyc- | II-5 |
| | -PheL-Z-PheL- | II-6 |
| | -Phe-Z-Phe-Z-Phe- | II-7 |
| 25 | -Phe-Z-Phe-Z-Cyc- | II-8 |
| | -Phe-Z-Cyc-Z-Phe- | II-9 |
| | -Cyc-Z-Phe-Z-Cyc- | II-10 |
| | -Phe-Z-Cyc-Z-Cyc- | II-11 |
| | -Cyc-Z-Cyc-Z-Cyc- | II-12 |
| 30 | -Phe-Z-Phe-Z-PheL- | II-13 |
| | -Phe-Z-PheL-Z-Phe- | II-14 |
| | -PheL-Z-Phe-Z-Phe- | II-15 |
| | -PheL-Z-Phe-Z-PheL- | II-16 |
| | -PheL-Z-PheL-Z-Phe- | II-17 |
| 35 | -PheL-Z-PheL-Z-PheL- | II-18 |
| | -Phe-Z-PheL-Z-Cyc- | II-19 |

	-Phe-Z-Cyc-Z-PheL-	II-20
	-Cyc-Z-Phe-Z-PheL-	II-21
	-PheL-Z-Cyc-Z-PheL-	II-22
	-PheL-Z-PheL-Z-Cyc-	II-23
5	-PheL-Z-Cyc-Z-Cyc-	II-24
	-Cyc-Z-PheL-Z-Cyc-	II-25

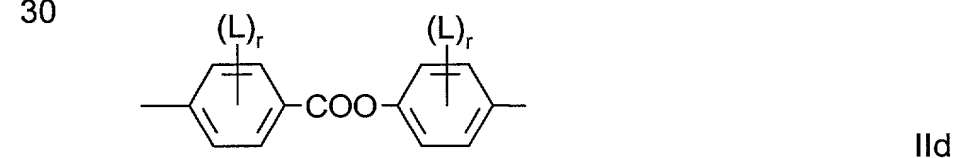
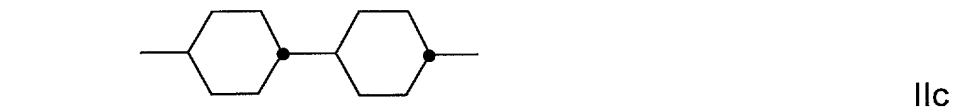
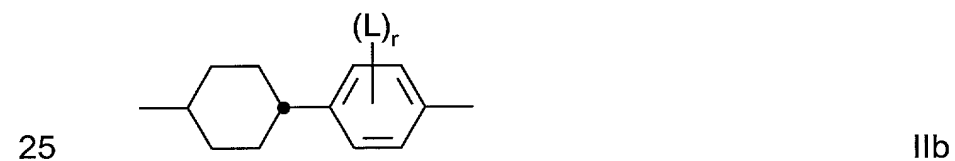
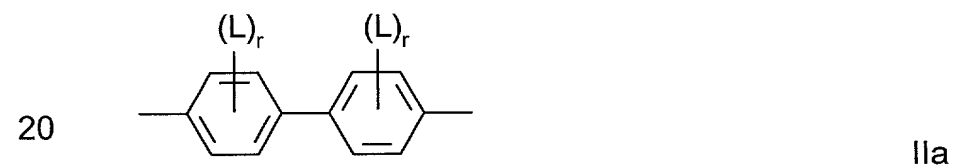
Particularly preferred are the subformulae II-1, II-2, II-4, II-6, II-7, II-8, II-11, II-13, II-14, II-15 and II-16 .

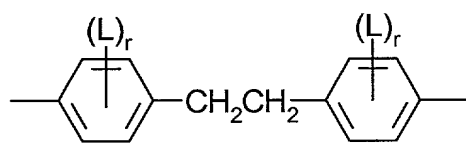
10

In these preferred groups Z in each case independently has one of the meanings of Z¹ as given in formula I. Preferably Z is -COO-, -OCO-, -CH₂CH₂-, -C≡C- or a single bond.

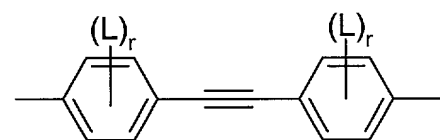
15

Very preferably the mesogenic group MG is selected from the following formulae and their mirror images

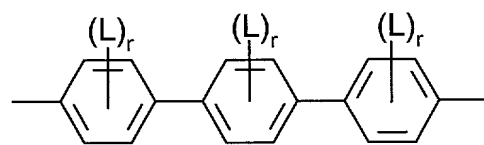




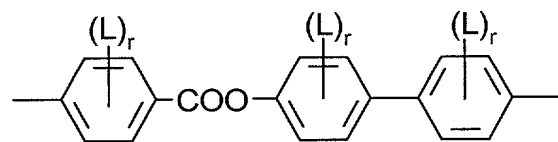
IIe



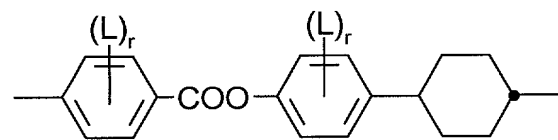
IIf



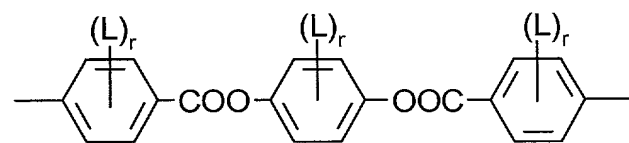
IIg



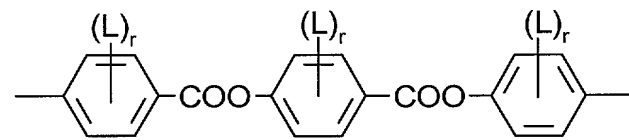
IIh



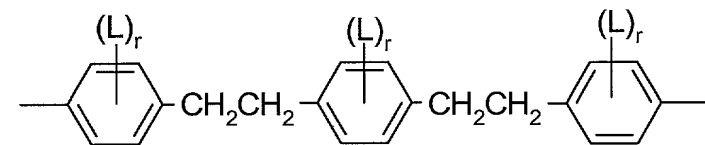
Ili



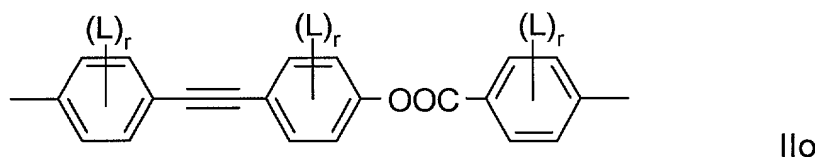
IIk



IIl



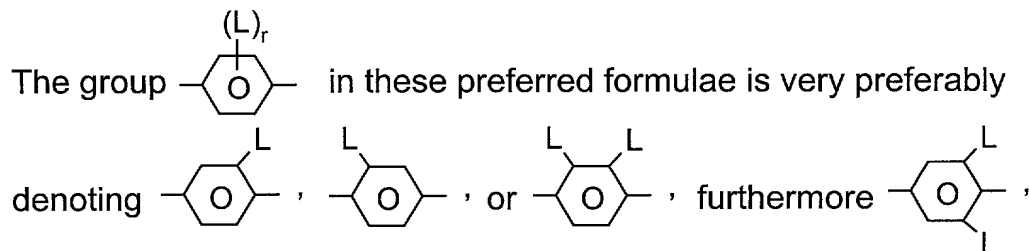
IIm



5

wherein L has the meaning given above and r is 0, 1 or 2.

10



with L having each independently one of the meanings given above.

15

Particularly preferred are the subformulae Ild, Ilg, Ilh, Ili, Ilk and Ilo, in particular the subformulae Ild and Ilk.

20

L is preferably F, Cl, CN, OH, NO₂, CH₃, C₂H₅, OCH₃, OC₂H₅, COCH₃, COC₂H₅, COOCH₃, COOC₂H₅, CF₃, OCF₃, OCHF₂, OC₂F₅, in particular F, Cl, CN, CH₃, C₂H₅, OCH₃, COCH₃ and OCF₃, most preferably F, Cl, CH₃, OCH₃ and COCH₃.

In the compounds of formula I R¹ may be a polar or unpolar group.

25

In case R¹ is a polar group, it is preferably selected from CN, NO₂, halogen, OCH₃, OCN, NCS, COR³, COOR³ or a mono-, oligo- or poly-fluorinated alkyl or alkoxy group with 1 to 4 C atoms. R³ is optionally fluorinated alkyl with 1 to 4, preferably 1 to 3 C atoms.

30

Halogen is preferably F or Cl. Especially preferably R¹ in these compounds is selected from F, Cl, CN, NO₂, OCH₃, COCH₃, COC₂H₅, COOCH₃, COOC₂H₅, CF₃, C₂F₅, OCF₃, OCHF₂, and OC₂F₅, in particular from F, Cl, CN, OCH₃ and OCF₃.

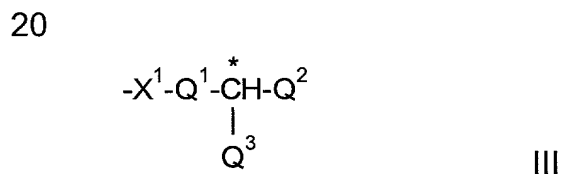
35

In case R¹ is an unpolar group, it is preferably alkyl with up to 15 C atoms or alkoxy with 2 to 15 C atoms.

If R¹ in formula I is an alkyl or alkoxy radical, i.e. where the terminal CH₂ group is replaced by -O-, this may be straight-chain or branched. It is preferably straight-chain, has 2, 3, 4, 5, 6, 7 or 8 carbon atoms and accordingly is preferably ethyl, propyl, butyl, pentyl, hexyl, heptyl, octyl, ethoxy, propoxy, butoxy, pentoxy, hexoxy, heptoxy, or octoxy, furthermore methyl, nonyl, decyl, undecyl, dodecyl, tridecyl, tetradecyl, pentadecyl, methoxy, nonoxy, decoxy, undecoxy, dodecoxy, tridecoxy or tetradecoxy, for example.

Oxaalkyl, i.e. where one CH₂ group is replaced by -O-, is preferably straight-chain 2-oxapropyl (=methoxymethyl), 2- (=ethoxymethyl) or 3-oxabutyl (=2-methoxyethyl), 2-, 3-, or 4-oxapentyl, 2-, 3-, 4-, or 5-oxahexyl, 2-, 3-, 4-, 5-, or 6-oxaheptyl, 2-, 3-, 4-, 5-, 6- or 7-oxaoctyl, 2-, 3-, 4-, 5-, 6-, 7- or 8-oxanonyl or 2-, 3-, 4-, 5-, 6-, 7-, 8- or 9-oxadecyl, for example.

In the compounds of formula I R¹ may be an achiral or a chiral group. In case of a chiral group it is preferably selected according to the following formula III:



wherein

X¹ is -O-, -S-, -CO-, -COO-, -OCO-, -OCOO- or a single bond,

Q¹ is an alkylene or alkylene-oxy group with 1 to 10 C atoms or a single bond,

Q² is an alkyl or alkoxy group with 1 to 10 C atoms which may be unsubstituted, mono- or polysubstituted by halogen or CN, it being also possible for one or more non-adjacent CH₂ groups to be replaced, in each case independently from one another, by

-C≡C-, -O-, -S-, -NH-, -N(CH₃)-, -CO-, -COO-, -OCO-, -OCO-O-,
-S-CO- or -CO-S- in such a manner that oxygen atoms are not
linked directly to one another,

- 5 Q³ is halogen, a cyano group or an alkyl or alkoxy group with 1 to 4
C atoms different from Q².

10 In case Q¹ in formula III is an alkylene-oxy group, the O atom is
preferably adjacent to the chiral C atom.

15 Preferred chiral groups R¹ are 2-butyl (=1-methylpropyl), 2-
methylbutyl, 2-methylpentyl, 3-methylpentyl, 2-ethylhexyl, 2-
propylpentyl, 2-octyl, in particular 2-methylbutyl, 2-methylbutoxy, 2-
methylpentoxy, 3-methylpentoxy, 2-ethylhexoxy, 1-methylhexoxy, 2-
20 octyloxy, 2-oxa-3-methylbutyl, 3-oxa-4-methylpentyl, 4-methylhexyl,
2-nonyl, 2-decyl, 2-dodecyl, 6-methoxyoctoxy, 6-methyloctoxy, 6-
methyloctanoyloxy, 5-methylheptyloxycarbonyl, 2-methylbutyryloxy,
3-methylvaleroyloxy, 4-methylhexanoyloxy, 2-chloropropionyloxy, 2-
chloro-3-methylbutyryloxy, 2-chloro-4-methylvaleryloxy, 2-chloro-3-
25 methylvaleryloxy, 2-methyl-3-oxapentyl, 2-methyl-3-oxahexyl, 1-
methoxypropyl-2-oxy, 1-ethoxypropyl-2-oxy, 1-propoxypropyl-2-oxy,
1-butoxypropyl-2-oxy, 2-fluorooctyloxy, 2-fluorodecyloxy for example.

25 In addition, compounds of formula I containing an achiral branched
group R¹ may occasionally be of importance, for example, due to a
reduction in the tendency towards crystallization. Branched groups of
this type generally do not contain more than one chain branch.
Preferred achiral branched groups are isopropyl, isobutyl
(=methylpropyl), isopentyl (=3-methylbutyl), isopropoxy, 2-methyl-
30 propoxy and 3-methylbutoxy.

Very preferably R¹ in formula I is halogen, cyano or an optionally
fluorinated achiral or chiral alkyl or alkoxy group with 1 to 15 C atoms.

35 Another preferred embodiment of the present invention relates to
compounds of formula I wherein R¹ is denoting P-(Sp-X)_n-.

P and P¹ to P⁵ in formula I are preferably an acrylate group, a methacrylate group, a vinyl or vinyloxy group, an epoxy group, a styrene group or a propenyl ether group, in particular an acrylate, methacrylate, vinyl or epoxy group.

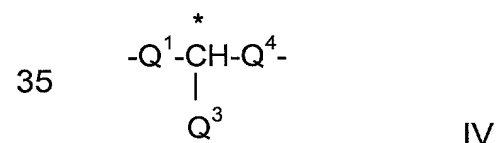
As for the spacer group Sp in formula I all groups can be used that are known for this purpose to the skilled in the art. The spacer group Sp is preferably a linear or branched alkylene group having 1 to 20 C atoms, in particular 1 to 12 C atoms, in which, in addition, one or more non-adjacent CH₂ groups may be replaced by -O-, -S-, -NH-, -N(CH₃)-, -CO-, -O-CO-, -S-CO-, -O-COO-, -CO-S-, -CO-O-, -CH(halogen)-, -CH(CN)-, -CH=CH- or -C≡C-.

Typical spacer groups are for example -(CH₂)_o-, -(CH₂CH₂O)_p-CH₂CH₂-, -CH₂CH₂-S-CH₂CH₂- or -CH₂CH₂-NH-CH₂CH₂-, with o being an integer from 2 to 12 and p being an integer from 1 to 3.

Preferred spacer groups are ethylene, propylene, butylene, pentylene, hexylene, heptylene, octylene, nonylene, decylene, undecylene, dodecylene, octadecylene, ethyleneoxyethylene, methyleneoxybutylene, ethylene-thioethylene, ethylene-N-methyl-iminoethylene, 1-methylalkylene, ethenylene, propenylene and butenylene for example.

Especially preferred are inventive compounds of formula I wherein Sp is denoting an alkyl or alkoxy group with 2 to 8 C atoms. Straight-chain alkyl or alkoxy groups are especially preferred.

In another preferred embodiment of the invention the chiral compounds of formula I comprise at least one spacer group Sp that is a chiral group of the formula IV:



wherein

Q¹ and Q³ have the meanings given in formula III, and

5

Q⁴ is an alkylene or alkylene-oxy group with 1 to 10 C atoms or a single bond, being different from Q¹.

10

In the event that R is denoting P-Sp-X- , the two spacer groups Sp in the compounds of formula I may be identical or different.

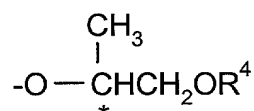
Of the preferred compounds described above particularly preferred are those wherein n is 1.

15

In another preferred embodiment of the present invention R¹ is a terminal chiral group selected from the following groups

an ethylenglycol derivative

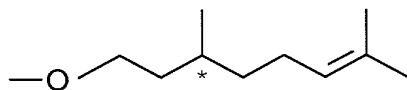
20



wherein R⁴ is an alkyl radical with 1 to 12 C atoms,

25

a group based on citronellol,



30

a cholesteryl group, a terpenoid radical as disclosed e.g. in WO 96/17901, preferably selected from menthyl, neomenthyl, campheyl, pineyl, terpineyl, isolongifolyl, fenchyl, carreyll, myrthenyl, nopyl, geraniyl, linaloyl, neryl, citronellyl and dihydrocitronellyl, particularly

35

preferably menthyl, a group selected from menthone derivatives like



10

15

$$-(A^1-Z)_d-G-(Z-A^2)_e- \quad \text{VI}$$

20

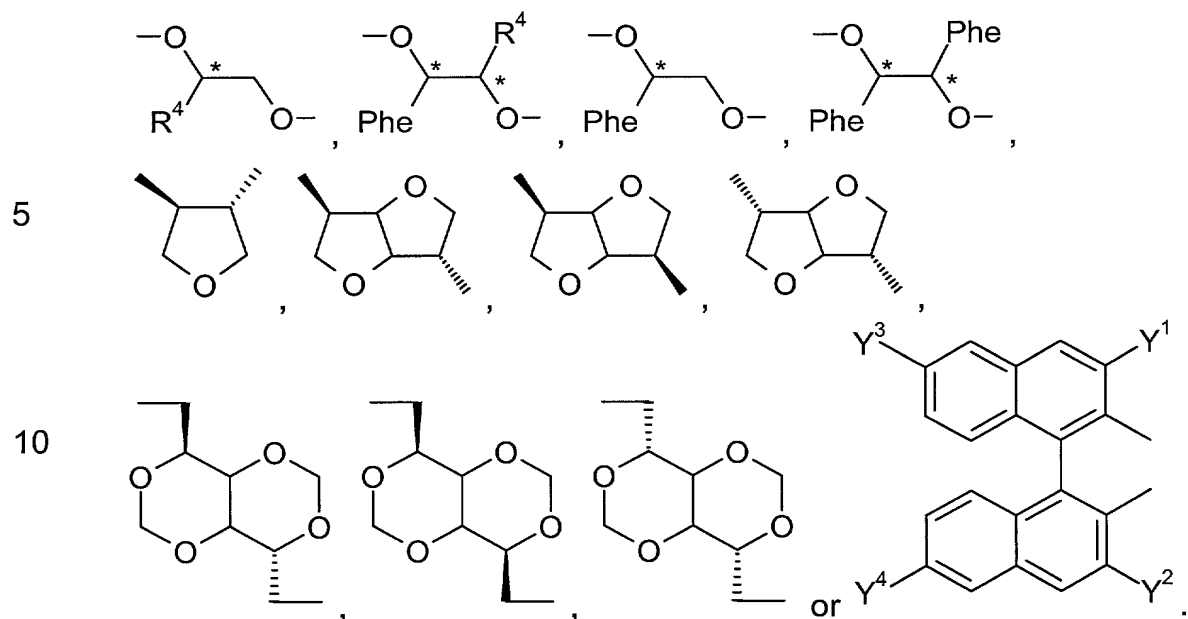
d and e are independently of each other 0, 1 or 2,

25

30

35

- 19 -



wherein Phe has the meaning given above, R⁴ is F or optionally fluorinated alkyl with 1 to 4 C atoms and Y¹, Y², Y³ and Y⁴ have one of the meanings of R¹ in formula I.

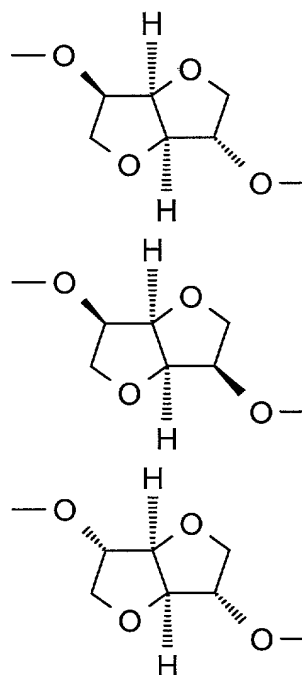
Preferably G is dianhydrohexitol like

dianhydrosorbitol

dianhydromannitol

dianhydroiditol

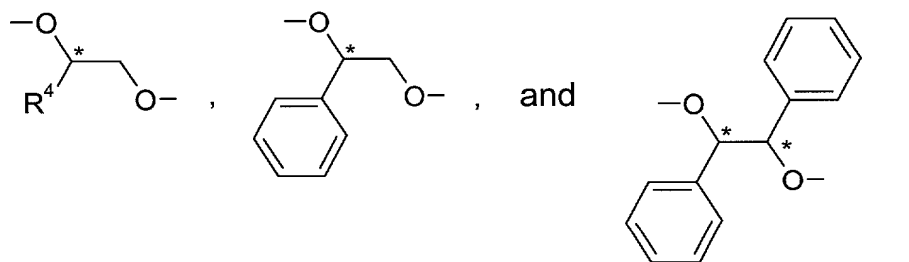
and



especially preferably dianhydrosorbitol,

substituted ethane diol like

5

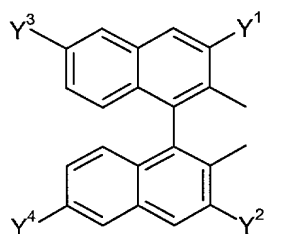


10

wherein R^4 is F, CH_3 or CF_3 ,

15

or optionally substituted binaphthyl



20

wherein Y^1 , Y^2 , Y^3 and Y^4 are H, F or optionally fluorinated alkyl with 1 to 8 C atoms.

The inventive compounds of formula I can be synthesized according to or in analogy to methods which are known per se and which are described in standard works of organic chemistry such as, for example, Houben-Weyl, Methoden der organischen Chemie, Thieme-Verlag, Stuttgart. Some specific methods of preparation can be taken from the examples.

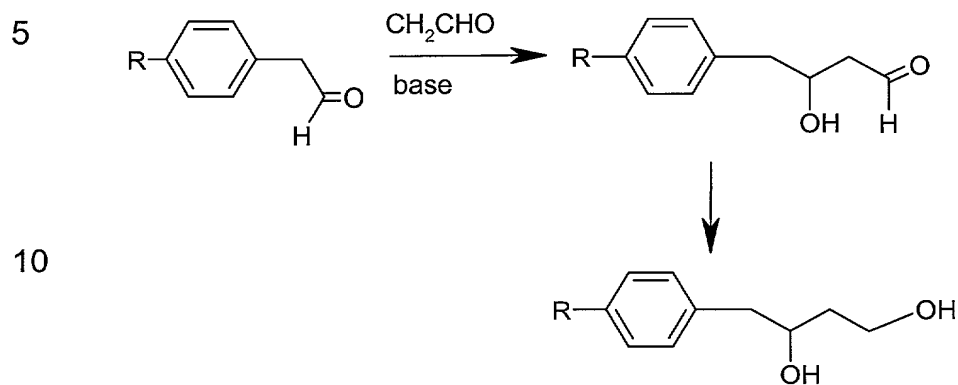
30

Furthermore, compounds of formula I wherein R^2 is selected of the preferred subformulae Ia to Ik can be prepared according to or in analogy to the reaction schemes 1 to 14 given below, followed by attaching polymerizable groups to the terminal OH groups by esterification or etherification according to known methods.

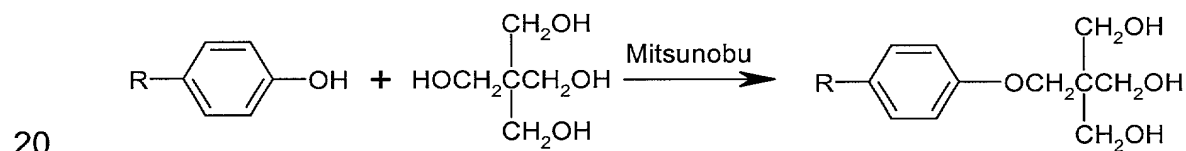
35

Scheme 1

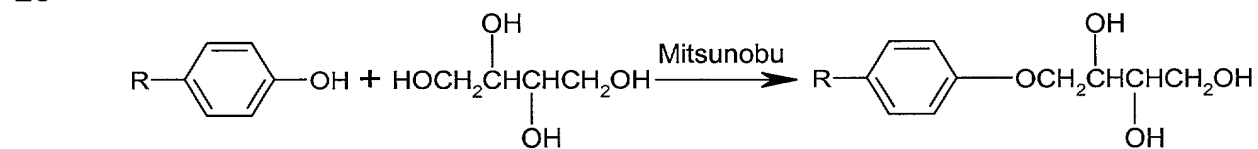
Compounds according to subformula Ia

Scheme 2

Compounds according to subformula Ib

Scheme 3

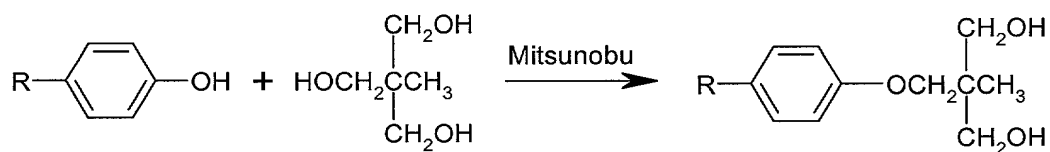
Compounds according to subformula Ic

Scheme 4

Compounds according to subformula Id

35

- 22 -

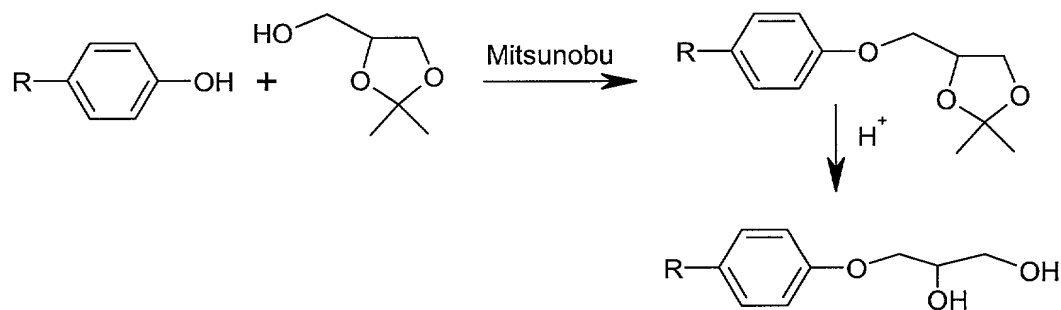


5

Scheme 5

Compounds according to subformula 1e

10

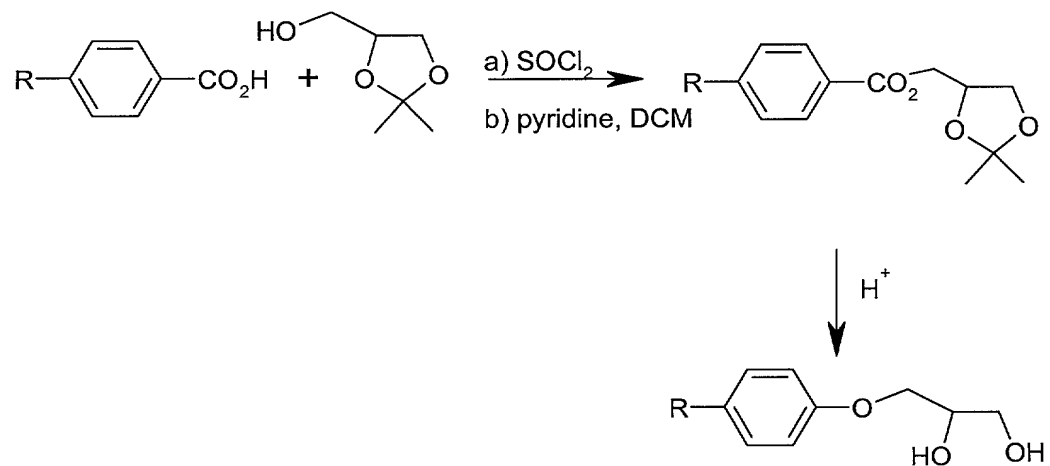


15

Scheme 6

20

Compounds according to subformula 1e

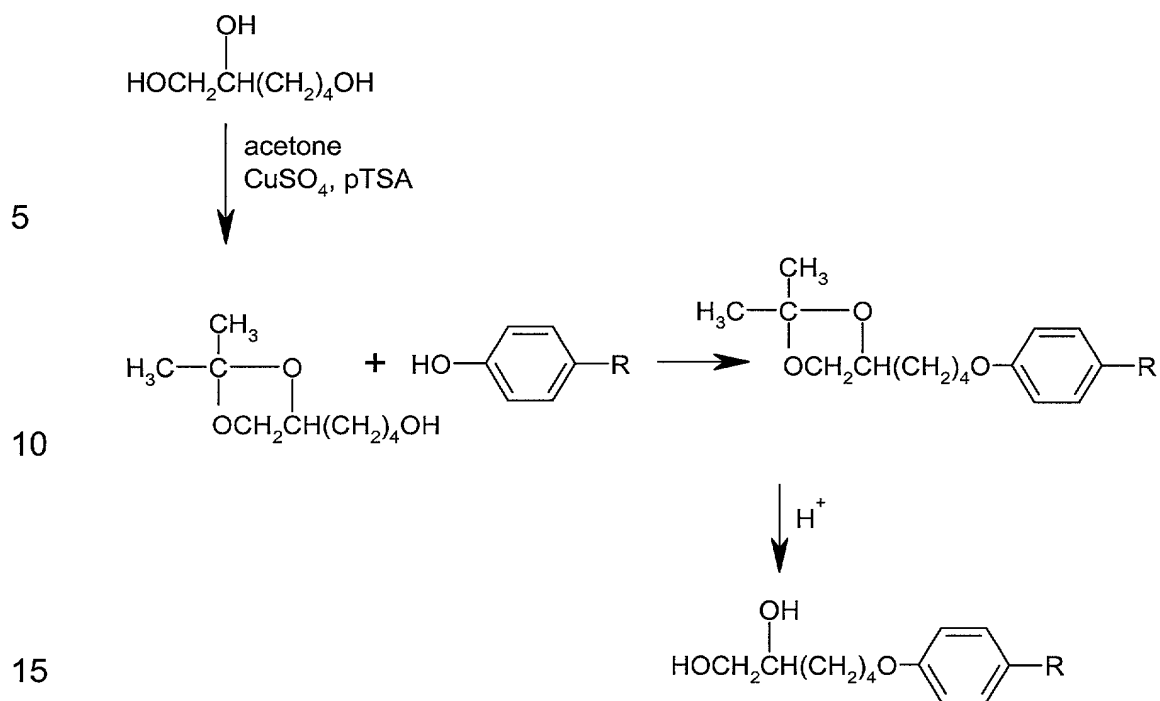


30

Scheme 7

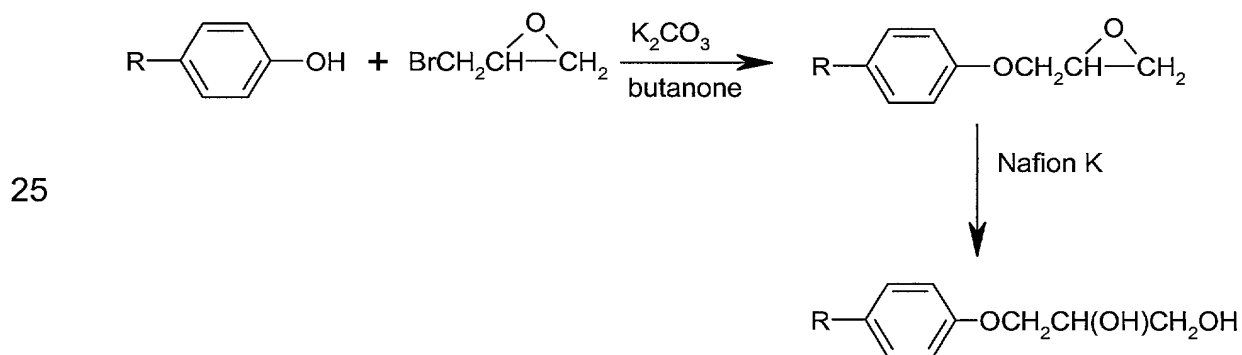
35

Compounds according to subformula 1e



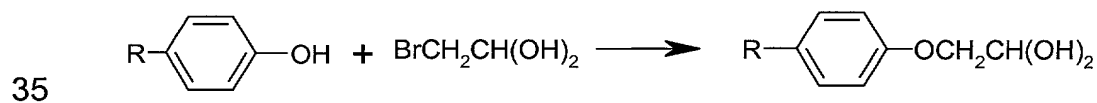
Scheme 8

20 Compounds according to subformula Ie



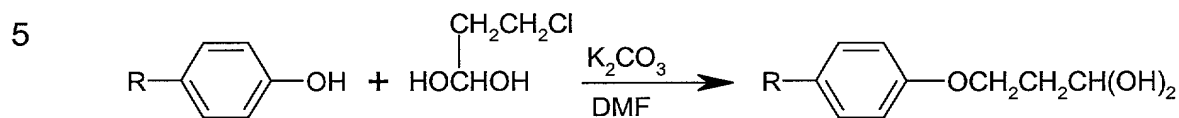
30 Scheme 9

Compounds according to to subformula If

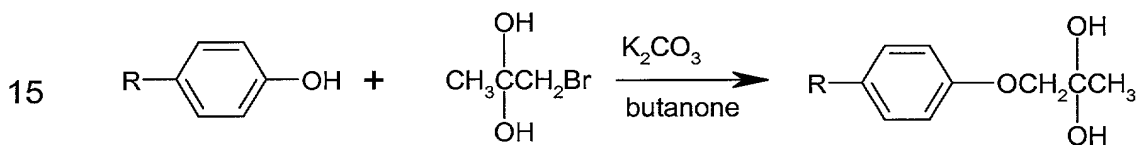


Scheme 10

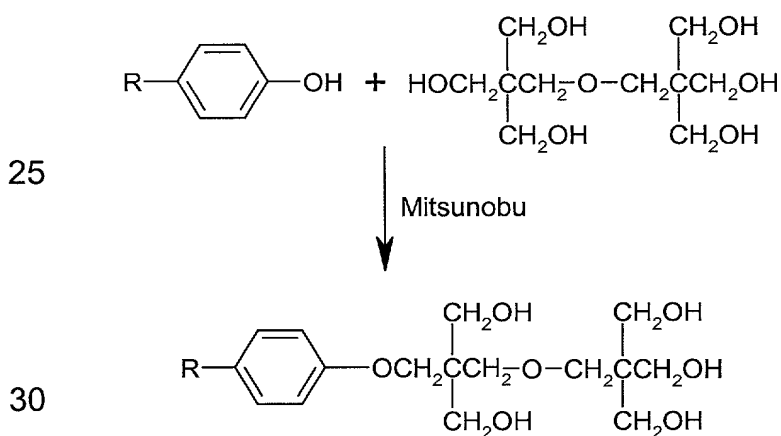
Compounds according to subformula If

10 Scheme 11

Compounds according to subformula Ig

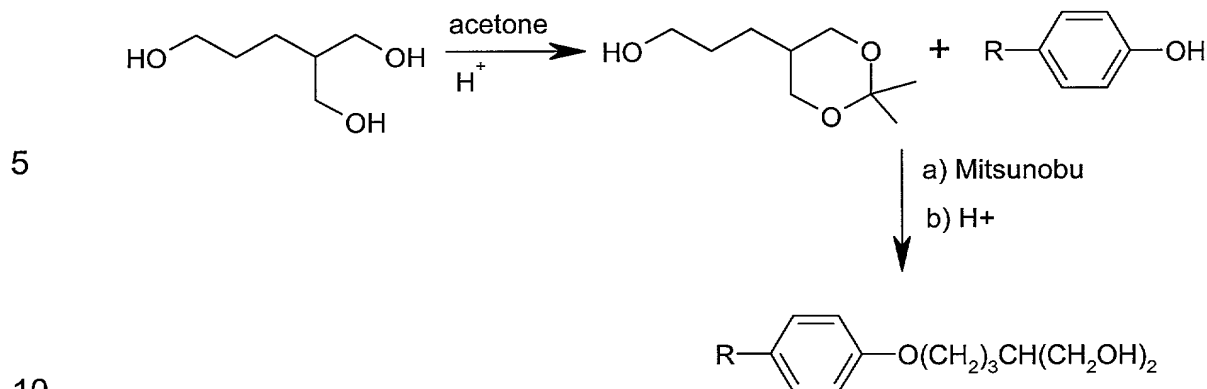
Scheme 12

20 Compounds according to subformula Ih

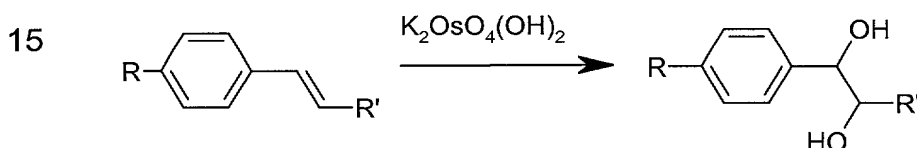
Scheme 13

35

Compounds according to subformula li

Scheme 14

Compounds according to subformula Ik



20

wherein R is $-(A^1-Z)_m-R^1$, A^1 , Z and m have the meanings of formula II, R^1 is an unpolymerizable polar or unpolar group as defined above, and R' is an alkyl group with 1 to 10 C atoms.

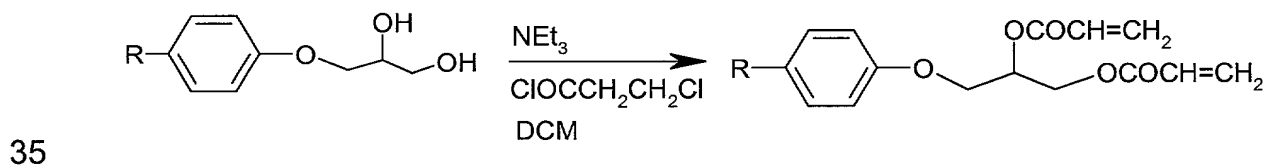
25

The polymerizable groups can be attached to the terminal OH groups as described for example in reaction scheme 15, or in analogy thereto.

Scheme 15

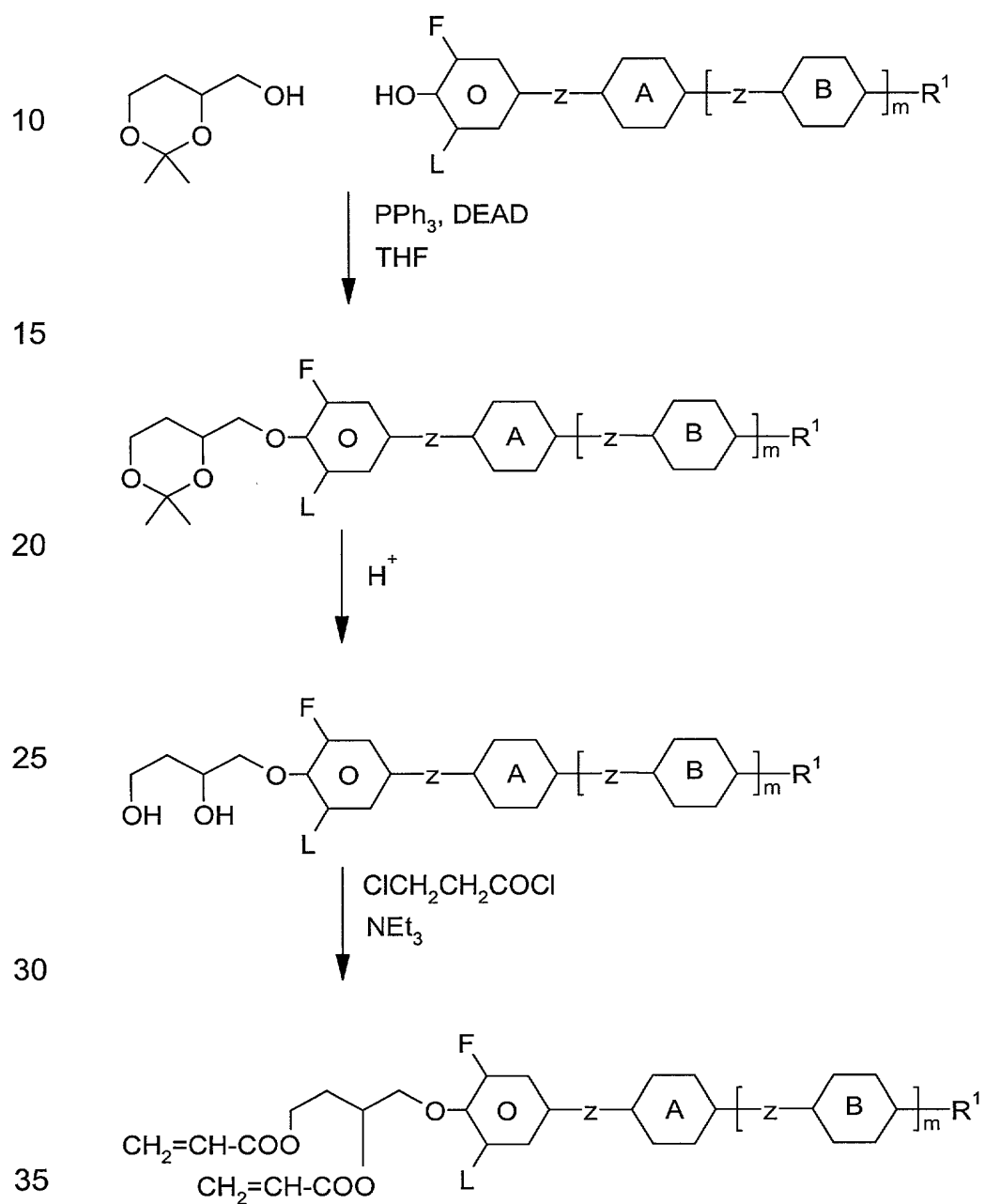
30

Compounds according to subformula le



An exemplary method of preparing inventive compounds wherein MG is selected of formula II is given in reaction scheme 16 below.

5 Scheme 16



wherein R¹ is an unpolymerizable polar or unpolar group as defined above, Z and m have the meaning of formula II, A and B are each independently Phe, PheL or Cyc as defined above, and L has one of the meanings given above.

5

The invention also relates to a polymerizable liquid crystal composition comprising at least two polymerizable components, at least one of which is a compound of formula I, and to linear or crosslinked polymers prepared from the inventive compounds and compositions.

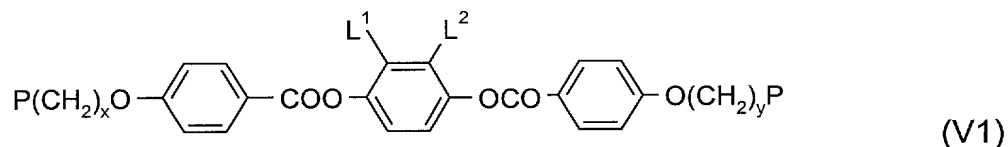
10

Suitable polymerizable mesogenic compounds that can be used as co-components of the polymerizable liquid crystal composition, together with the inventive compounds of formula I, are disclosed for example in WO 93/22397; EP 0,261,712; DE 195,04,224; WO 15 95/22586 and WO 97/00600. The compounds disclosed in these documents, however, are to be regarded merely as examples that shall not limit the scope of this invention.

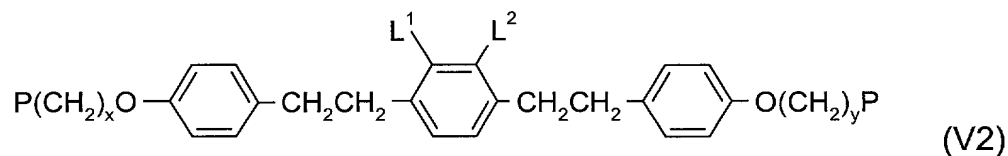
20

Typical examples representing such polymerizable mesogenic compounds are shown in the following list of compounds, which should, however, be taken only as illustrative and is in no way intended to restrict, but instead to explain the present invention:

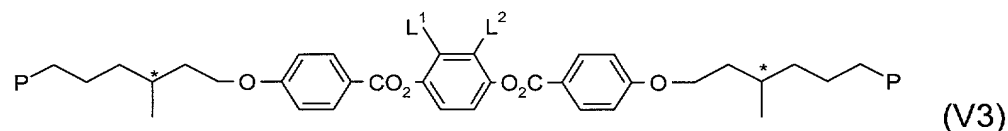
25

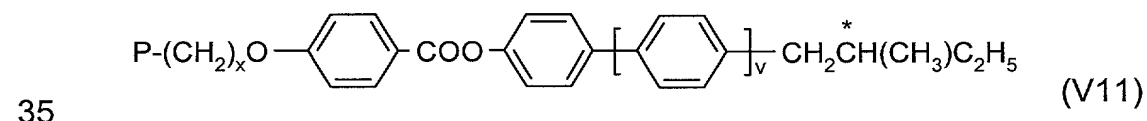
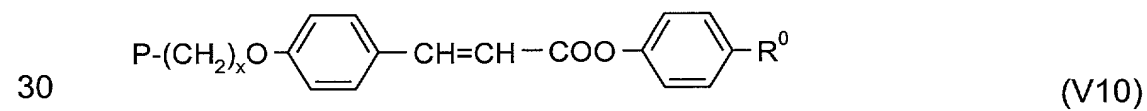
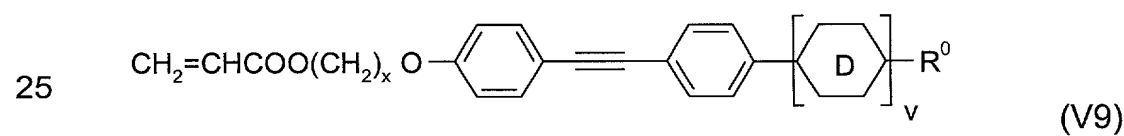
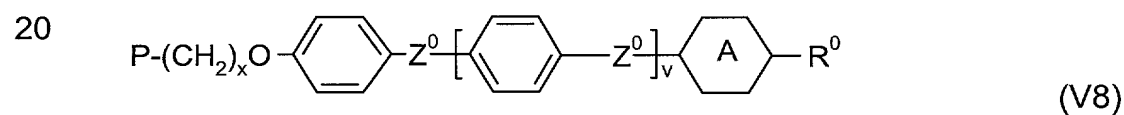
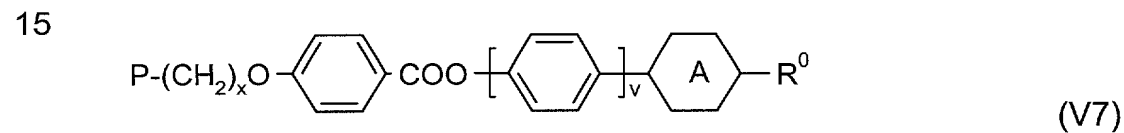
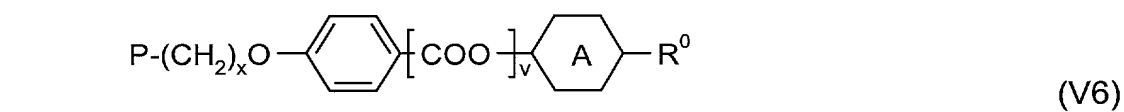
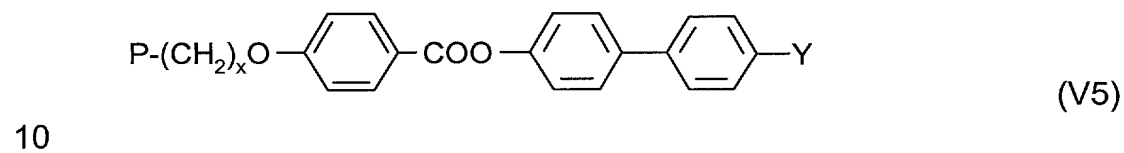
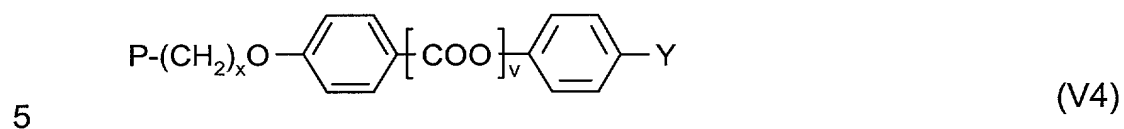


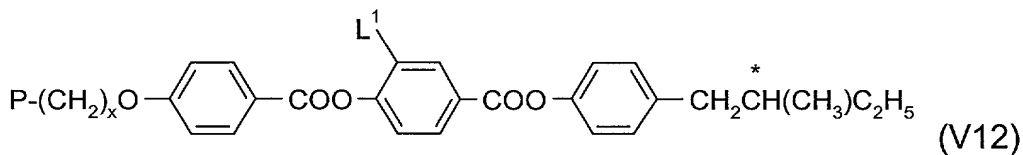
30



35







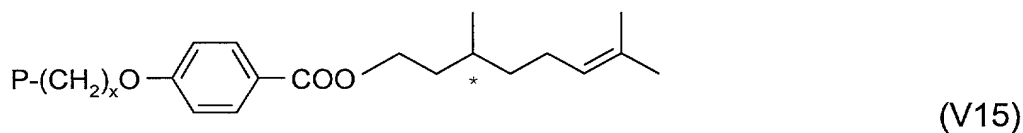
5



10



15



20

In the above formulae, P has one of the meanings of formula I and its preferred meanings as mentioned above, x and y are each independently 1 to 12, A and D are 1,4-phenylene or 1,4-cyclohexylene, v is 0 or 1, Z⁰ is -COO-, -OCO-, -CH₂CH₂- or a single bond, Y is a polar group, R⁰ is an unpolar alkyl or alkoxy group, Ter is a terpenoid radical like e.g. menthyl, Chol is a cholesteryl group, and L¹ and L² are each independently H, F, Cl, CN or an optionally halogenated alkyl, alkoxy or carbonyl or group with 1 to 7 C atoms.

25

30

In a preferred embodiment of the invention the polymerizable liquid crystalline composition comprises at least one multireactive compound of formula I and at least one mono- or direactive polymerizable compound, i.e. a mesogenic compound having one or two polymerizable groups. Especially preferred are monoreactive compounds selected of formulae V4 to V15 and direactive compounds selected of formulae V1, V2 and V3 above.

35

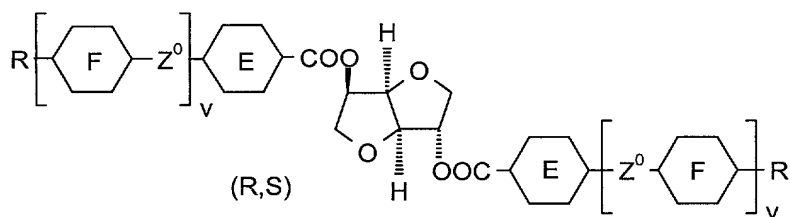
It is also possible for the inventive polymerizable liquid crystalline composition to comprise one or more non-polymerizable chiral

compounds, which may be mesogenic or non-mesogenic, in addition or alternatively to chiral polymerizable compounds. For example, commercially available dopants, like e.g. S 811, R 1011 or CB 15 (from Merck KGaA, Germany) can be used for this purpose.

5

Especially preferred are chiral non-polymerizable dopants with a high helical twisting power (HTP) selected of formula VII

10

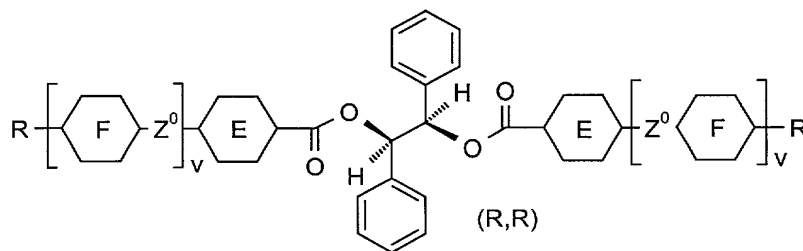


VII

15

and/or formula VIII

20



VIII

25

including the (R,S), (S,R), (R,R) and (S,S) enantiomers not shown,

wherein E and F are each independently 1,4-phenylene or trans-1,4-cyclohexylene, v is 0 or 1, Z⁰ is -COO-, -OCO-, -CH₂CH₂- or a single bond, and R is alkyl, alkoxy or alkanoyl with 1 to 12 C atoms.

30

The compounds of formula VII and their synthesis are described in WO 98/00428. The compounds of formula VIII and their synthesis are described in GB 2,328,207.

35

Polymerizable liquid crystalline compositions are preferred that comprise 1 to 6, preferably 1 to 3 compounds of formula I.

In a preferred embodiment of the present invention the polymerizable liquid crystalline composition comprises 1 to 80 % by weight, preferably 2 to 60 %, in particular 5 to 50 % by weight of one or more compounds of formula I.

5

Particularly preferred are polymerizable compositions comprising

10

a1) 5 to 80 %, very preferably 10 to 50 % by weight of up to five, preferably one or two compounds of formula I,

15

a2) 5 to 90 %, very preferably 10 to 40 % by weight of up to five, preferably one, two or three monoreactive mesogenic compounds,

20

b) 0 to 25 %, very preferably 1 to 10 % by weight of a direactive polymerizable mesogenic compound,

c) 0.5 to 10 %, very preferably 1 to 7 % by weight of a polymerization initiator,

25

d) 0 to 5 %, very preferably 0.1 to 2 % by weight of a surfactant.

The compounds of component a2) are preferably selected from the above formulae V4 to V15, in particular from formulae V4 to V10.

30

The compounds of component b) are preferably selected from the above formula V1 and V2.

35

The term polar group as used in the foregoing and the following means a group selected from halogen, CN, NO₂, OH, OCH₃, OCN, SCN, an optionally fluorinated carbonyl or carboxyl group with up to 4 C atoms or a mono- oligo- or polyfluorinated alkyl or alkoxy group with 1 to 4 C atoms. Especially preferred polar groups are those as defined for Y¹ in formula I, including its preferred meanings.

The term unpolar group means an alkyl group with 1 or more, preferably 1 to 12 C atoms or an alkoxy group with 2 or more, preferably 2 to 12 C atoms.

- 5 Liquid crystalline polymers can be obtained from the inventive polymerizable compounds and compositions e.g. by solution polymerization or by in-situ polymerization.

- 10 For example, solution polymerization can be carried out in a solvent like dichloromethane, tetrahydrofuran or toluene using AIBN as an initiator and heating for 24 hours at 30 to 60 °C.

- 15 The in-situ polymerization of polymerizable liquid crystalline compounds and compositions is described in detail by D.J.Broer et al., Makromol.Chem. 190, 2255ff. and 3202ff. (1989).

- 20 The polymerizable liquid crystal compounds and compositions according to this invention are preferably polymerized in situ as described in the foregoing and the following.

- 25 The inventive compounds and polymerizable liquid crystalline compositions are particularly useful for the preparation of anisotropic polymer films, such as nematic or cholesteric polymer films, with uniform molecular orientation.

- 30 Thus, another object of the invention is an anisotropic polymer film with an oriented liquid crystalline phase that is obtainable by polymerizing a polymerizable liquid crystalline composition comprising at least one compound of formula I.

- 35 To prepare an anisotropic polymer film with uniform orientation, an inventive polymerizable mesogenic composition is preferably coated onto a substrate, aligned and polymerized in situ by exposing them to heat or actinic radiation. Alignment and curing are preferably carried out in the liquid crystalline phase of the composition.

Actinic radiation means irradiation with light, like UV light, IR light or visible light, irradiation with X-rays or gamma rays or irradiation with high energy particles, such as ions or electrons. As a source for actinic radiation for example a single UV lamp or a set of UV lamps can be used. Another possible source for actinic radiation is a laser, like e.g. a UV laser, an IR laser or a visible laser.

When polymerizing by means of UV light, for example a photoinitiator can be used that decomposes under UV irradiation to produce free radicals or ions that start the polymerization reaction.

It is also possible to use a cationic photoinitiator, when curing reactive mesogens with for example vinyl and epoxide reactive groups, that photocures with cations instead of free radicals.

As a photoinitiator for radical polymerization for example the commercially available Irgacure 651, Irgacure 184, Darocure 1173 or Darocure 4205 (all from Ciba Geigy AG) can be used, whereas in case of cationic photopolymerization the commercially available UVI 6974 (Union Carbide) can be used.

Preferably the polymerizable liquid crystalline composition comprises 0.01 to 10 %, in particular 0.05 to 8 %, very preferably 0.1 to 5 % by weight of a photoinitiator, especially preferably a UV-photoinitiator.

In addition to the components mentioned above, the polymerizable composition may also comprise one or more other suitable components such as e.g. catalysts, stabilizers, chain-transfer agents, co-reacting monomers or surface-active compounds. In particular the addition of stabilizers is preferred in order to prevent undesired spontaneous polymerization of the polymerizable material e.g. during storage.

As stabilizers in principal all compounds can be used that are known to the skilled in the art for this purpose. These compounds are commercially available in a broad variety. Typical examples for stabilizers are 4-ethoxyphenol or butylated hydroxytoluene (BHT).

Other additives, like e.g. chain transfer agents, can also be added to the polymerizable material in order to modify the physical properties of the inventive polymer film. When adding a chain transfer agent, such as monoreactive thiol compounds like e.g. dodecane thiol or multireactive thiol compounds like e.g. trimethylpropane tri(3-mercaptopropionate), to the polymerizable material, the length of the free polymer chains and/or the length of the polymer chains between two crosslinks in the inventive polymer film can be controlled. When the amount of the chain transfer agent is increased, the polymer chain length in the obtained polymer film is decreasing.

It is also possible, in order to increase crosslinking of the polymers, to add up to 20% of a non-mesogenic compound with two or more polymerizable functional groups to the polymerizable composition alternatively or additionally to di- or multireactive mesogenic compounds.

Typical examples for direactive non-mesogenic monomers are alkyldiacrylates or alkyldimethacrylates with alkyl groups of 1 to 20 C atoms. Typical examples for non mesogenic monomers with more than two polymerizable groups are trimethylpropanetrimethacrylate or pentaerythritoltetraacrylate.

In a preferred embodiment of the invention the polymerization of the polymerizable composition is carried out under an atmosphere of inert gas, preferably under a nitrogen atmosphere.

As a substrate for example a glass or quartz sheet as well as a plastic film or sheet can be used. It is also possible to put a second substrate on top of the coated mixture prior to, during and/or after polymerization. The substrates can be removed after polymerization or not. When using two substrates in case of curing by actinic radiation, at least one substrate has to be transmissive for the actinic radiation used for the polymerization.

Isotropic or birefringent substrates can be used. In case the substrate is not removed from the polymerized film after polymerization, preferably isotropic substrates are used.

- 5 Preferably at least one substrate is a plastic substrate such as for example a film of polyester such as polyethyleneterephthalate (PET), of polyvinylalcohol (PVA), polycarbonate (PC) or triacetylcellulose (TAC), especially preferably a PET film or a TAC film. As a
10 birefringent substrate for example an uniaxially stretched plastic film can be used. For example PET films are commercially available from ICI Corp. under the trade name Melinex.

- 15 In a preferred embodiment of the present invention, the inventive polymerizable composition is coated as a thin layer on a substrate or between two substrates and is aligned in its liquid crystal phase to give a uniform orientation.

- 20 A uniform orientation can be achieved for example by shearing the mixture, e.g. by means of a doctor blade. It is also possible to apply an alignment layer, for example a layer of rubbed polyimide or sputtered SiO_x , on top of at least one of the substrates. In some cases, the mixtures orient themselves spontaneously on the substrate, or good alignment is achieved already by the act of coating the mixture.

- 25 In another preferred embodiment, a second substrate is put on top of the coated material. In this case, the shearing caused by putting together the two substrates is sufficient to give good alignment. It is also possible to apply electric or magnetic fields to align the coated mixture.

- 30 The polymerizable composition according to the present invention may also comprise one or more surfactants to improve planar alignment. Suitable surfactants are described for example in J. Cognard, Mol.Cryst.Liq.Cryst. **78**, Supplement 1, 1-77 (1981).
35 Particularly preferred are non-ionic surfactants, such as the commercially available fluorocarbon surfactants Fluorad 171 (from

3M Co.), or Zonyl FSN (from DuPont). Preferably the polymerizable mixture comprises 0.01 to 5 %, in particular 0.1 to 3 %, very preferably 0.2 to 2 % by weight of surfactants.

- 5 In some cases it is of advantage to apply a second substrate not only to aid alignment of the polymerizable mixture but also to exclude oxygen that may inhibit the polymerization. Alternatively the curing can be carried out under an atmosphere of inert gas. However, curing in air is also possible using suitable photoinitiators and high lamp power. When using a cationic photoinitiator oxygen exclusion most often is not needed, but water should be excluded.

- 15 For the preparation of anisotropic polymer gels, e.g. for use in switchable liquid crystal display devices, the polymerizable compounds or compositions can be polymerized in situ as described above, however, in this case alignment of the polymerizable mixture is not necessarily required, although it may be desired for specific applications.

- 20 The invention also relates to the use of inventive compounds, compositions and polymers such as polarizers, optical retardation or compensation films, alignment layers, colour filters or holographic elements, in liquid crystal displays such as PDLC, polymer gel or polymer stabilized cholesteric texture (PSCT) displays, in adhesives, synthetic resins with anisotropic mechanical properties, cosmetics, 25 diagnostics or liquid crystal pigments, for decorative and security applications, and for nonlinear optics or optical information storage. Inventive compounds comprising a chiral group can also be used as chiral dopants.

- 30 The inventive compounds of formula I are particularly suitable for the preparation of oriented liquid crystal polymer films that can be used as polarization or compensation films in liquid crystal displays.

- 35 Without further elaboration, it is believed that one skilled in the art can, using the preceding description, utilize the present invention to its fullest extent. The following preferred specific embodiments are,

therefore, to be construed as merely illustrative, and not limitative of the remainder of the disclosure in any way whatsoever.

5 In the foregoing and in the following examples, all temperatures are set forth uncorrected in degrees Celsius; and, unless otherwise indicated, all parts and percentages are by weight.

10 The entire disclosure of all applications, patents and publications, cited above and below, and of corresponding European application No. 99 116 849.3, filed September 3, 1999, are hereby incorporated by reference.

Examples

15 Without further elaboration, it is believed that one skilled in the art can, using the preceding description, utilize the present invention to its fullest extent. The following examples are, therefore, to be construed as merely illustrative and not limitative of the remainder of the disclosure in any way whatsoever.

20 In the foregoing and in the following examples, unless otherwise indicated, all temperatures are set forth uncorrected in degrees Celsius and all parts and percentages are by weight.

25 The following abbreviations are used to illustrate the liquid crystalline phase behaviour of the compounds: K = crystalline; N = nematic; S = smectic; Ch = cholesteric; I = isotropic. The numbers between the symbols indicate the phase transition temperatures in ° C.

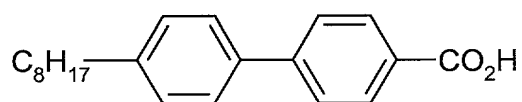
30

35

Example 1

Compound (1) was prepared as follows according to reaction schemes 6 and 15.

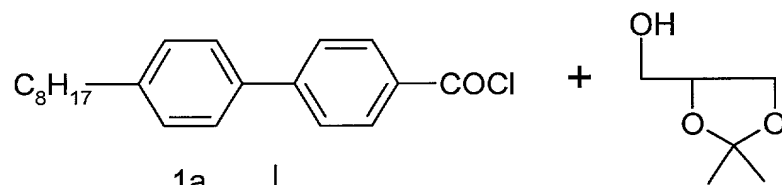
5



10

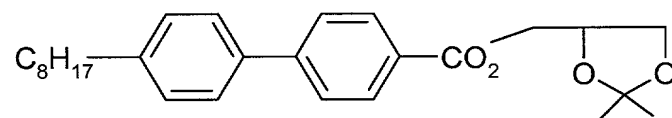
SOCl₂, NMP, DCM

15

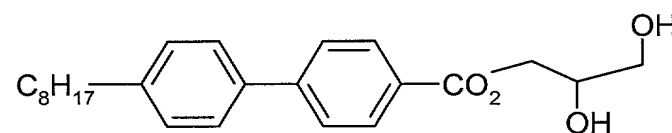


20

pyridine, DCM



25

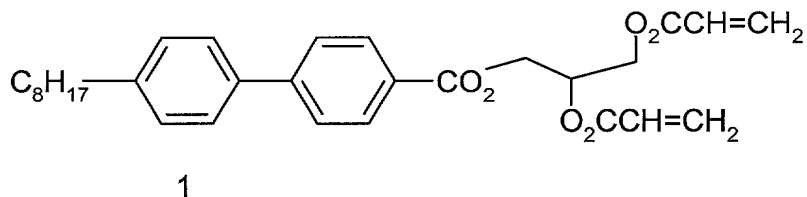
H₂SO₄, EtOH (aq)

30

1c

NEt₃, DCM
ClOCCH₂CH₂Cl

35



5

1a)

10

4'-Octyl-biphenyl-4-carboxylic acid (10.0 g, 32 mmol), thionyl chloride (3.0 ml, 1.3 equiv.) and 2 drops of N-methyl pyrrolidone were stirred under reflux in DCM (100 ml) for 2 hours. The solution was evaporated to dryness on a rotary evaporator. The residual brown oil which solidified on standing was used without further purification in the next step.

15

1b)

20

Acid chloride 1a (10.5 g, 32 mmol) was added to a solution of solketal (4.0 ml, 32 mmol) and pyridine (3.1 ml, 1.2 equiv., 38.4 mmol) in DCM (100 ml). The solution was stirred under reflux overnight, cooled to room temperature and evaporated to dryness on a rotary evaporator. The product was purified by flash chromatography (4:1 petroleum ether : ethyl acetate) to leave upon evaporation of the appropriate fractions a yellow oil which solidified on standing. Yield 10.0 g, 95 %, melting point 48 °C. ¹H NMR showed the expected signals.

25

1c)

30

Acetal 1b (10.0 g, 23.6 mmol) was stirred in a solution of aqueous ethanol (150 ml) in the presence of 2 ml conc. sulphuric acid for 2 hours. The solution was cooled, concentrated in vacuo, water was added until a white solid precipitated. The solid was collected by vacuum filtration (4.9 g, 54 %). IR (nujol mull) showed a broad O-H stretch at 3500 - 3200 cm⁻¹.

35

The compound has a smectic phase, with K 91 S_A 114 I.

1)

5 Diol 1c (4.8 g, 12.5 mmol), 3-chloropropionyl chloride (2.4 ml, 25 mmol) and triethylamine (10.5 ml, 75 mmol) were dissolved in DCM (50 ml) and stirred at 35 °C for 16 hours. The solution was cooled, washed with water, dried (Na₂SO₄) evaporated to dryness. The residual oil was purified by flash column chromatography to leave an oil which crystallized on standing. Yield 5.3 g, 88 %. ¹H NMR gave the expected signals.

10

The preceding examples can be repeated with similar success by substituting the generically or specifically described reactants and/or operating conditions of this invention for those used in the preceding examples.

15

From the foregoing description, one skilled in the art can easily ascertain the essential characteristics of this invention and, without departing from the spirit and scope thereof, can make various changes and modifications of the invention to adapt it to various usages and conditions.

20

25

30

35

Claims

1. A multireactive polymerizable mesogenic compound of formula I



wherein

10 R^1 is halogen, CN, OCN, NCS, NO_2 or a chiral or achiral alkyl radical with 1 to 30 C atoms which may be unsubstituted, mono- or poly-substituted by halogen or CN, optionally one or more non-adjacent CH_2 groups being replaced, in each case independently from one another, by -O-, -S-, -NH-, -N(CH_3)-, -CO-, -COO-, -OCO-, -OCO-O-, -S-CO-, -CO-S-, -CH=CH- or -C \equiv C- in such a manner that oxygen atoms are not linked directly to one another, or alternatively has one of the meanings of R^2 or is P-(Sp-X) $_n$,

20 P is a polymerizable group,

Sp is a spacer group with 1 to 25 C atoms,

25 X is -O-, -S-, -CO-, -COO-, -OCO-, -OCO-O-, -CO-NH-, -NH-CO-, -OCH $_2$ -, -CH $_2$ O-, -SCH $_2$ -, -CH $_2$ S-, -CH=CH-COO-, -OOC-CH=CH- or a single bond,

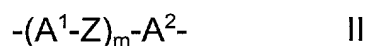
n is 0 or 1,

30 MG is a mesogenic group, and

35 R^2 is straight-chain or branched alkyl with 1 to 25 C atoms which may be unsubstituted, mono- or poly-substituted by halogen or CN, optionally one or more non-adjacent CH_2 groups being replaced, in each case independently from one another, by -O-, -S-, -NH-, -N(CH_3)-, -CO-, -COO-, -OCO-, -OCO-O-, -S-CO-, -CO-S-, -CH=CH- or -C \equiv C- in

such a manner that oxygen atoms are not linked directly to one another, and which is substituted with at least two identical or different groups P.

- 5 2. A multireactive polymerizable mesogenic compound according to claim 1, wherein R^1 is a non-polymerizable group.
3. A multireactive polymerizable mesogenic compound according to claim 1, wherein R^1 has one of the meanings of R^2 .
- 10 4. A multireactive polymerizable mesogenic compound according to claim 1, wherein MG is of formula II



wherein

Z is -O-, -S-, -CO-, -COO-, -OCO-, -CO-NH-, -NH-CO-,
-CH₂CH₂-, -OCH₂-, -CH₂O-, -SCH₂-, -CH₂S-, -CH=CH-,
20 -CH=CH-COO-, -OCO-CH=CH-, -C≡C- or a single bond,

A^1 and A^2 are each independently 1,4-phenylene in which, in addition, one or more CH groups are optionally replaced by N; 1,4-cyclohexylene in which, in addition, one or two non-adjacent CH₂ groups are optionally replaced by O and/or S; 1,4-cyclohexenylene; 1,4-bicyclo(2,2,2)octylene; piperidine-1,4-diyl; naphthalene-2,6-diyl; decahydronaphthalene-2,6-diyl; or 1,2,3,4-tetrahydronaphthalene-2,6-diyl; all these groups optionally being
30 unsubstituted, mono- or poly-substituted with F, Cl, OH, CN, NO₂ or alkyl, alkoxy, alkylcarbonyl or alkoxy carbonyl groups having 1 to 7 C atoms wherein one or more H atoms may be substituted by F or Cl, and

35 m is 1, 2 or 3.

5. A multireactive polymerizable mesogenic compound according to claim 1, wherein P is selected from $\text{CH}_2=\text{CW}-\text{COO}-$, $\text{WCH}=\text{CH}-\text{O}-$, $\text{CH}_2=\text{CH}-\text{Phenyl}-(\text{O})_k-$ and $\text{WHC}-\text{CH}-$, with W being H, CH_3 or Cl and k being 0 or 1.
6. A multireactive polymerizable mesogenic compound according to claim 1, wherein R^2 is substituted with 2, 3, 4 or 5 identical or different polymerizable groups P.
7. A multireactive polymerizable mesogenic compound according to claim 1, wherein R^2 is a group of one of the following formulae
- | | |
|--|----|
| -X-alkyl- $\text{CHP}^1-\text{CH}_2-\text{CH}_2\text{P}^2$ | la |
| -X-alkyl- $\text{C}(\text{CH}_2\text{P}^1)(\text{CH}_2\text{P}^2)-\text{CH}_2\text{P}^3$ | lb |
| -X-alkyl- $\text{CHP}^1\text{CHP}^2-\text{CH}_2\text{P}^3$ | lc |
| -X-alkyl- $\text{C}(\text{CH}_2\text{P}^1)(\text{CH}_2\text{P}^2)-\text{C}_a\text{H}_{2a+1}$ | ld |
| -X-alkyl- $\text{CHP}^1-\text{CH}_2\text{P}^2$ | le |
| -X-alkyl- CHP^1P^2 | lf |
| -X-alkyl- $\text{CP}^1\text{P}^2-\text{C}_a\text{H}_{2a+1}$ | lg |
| -X-alkyl- $\text{C}(\text{CH}_2\text{P}^1)(\text{CH}_2\text{P}^2)-\text{CH}_2\text{OCH}_2-\text{C}(\text{CH}_2\text{P}^3)(\text{CH}_2\text{P}^4)\text{CH}_2\text{P}^5$ | lh |
| -X-alkyl- $\text{CH}((\text{CH}_2)_a\text{P}^1)((\text{CH}_2)_b\text{P}^2)$ | li |
| -X-alkyl- $\text{CHP}^1\text{CHP}^2-\text{C}_a\text{H}_{2a+1}$ | lk |
- wherein

alkyl is straight-chain or branched alkylene with 0 to 12 C atoms which may be unsubstituted, mono- or polysubstituted by halogen or CN, it being also possible for one or more non-adjacent CH₂ groups to be replaced, in each case independently from one another, by -O-, -S-, -NH-, -N(CH₃)-, -CO-, -COO-, -OCO-, -OCO-O-, -S-CO-, -CO-S-, -CH=CH- or -C≡C- in such a manner that oxygen atoms are not linked directly to one another,

a and b are identical or different integers from 0 to 6,

X has one of the meanings of formula I, and

P¹ to P⁵ independently have one of the meanings of P.

8. A multireactive polymerizable mesogenic compound according to claim 5, wherein R² is a group of one of the following formulae

-X-alkyl-CHP¹-CH₂-CH₂P² Ia

-X-alkyl-C(CH₂P¹)(CH₂P²)-CH₂P³ Ib

-X-alkyl-CHP¹CHP²-CH₂P³ Ic

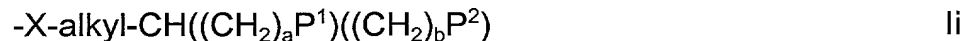
-X-alkyl-C(CH₂P¹)(CH₂P²)-C_aH_{2a+1} Id

-X-alkyl-CHP¹-CH₂P² Ie

-X-alkyl-CHP¹P² If

-X-alkyl-CP¹P²-C_aH_{2a+1} Ig

-X-alkyl-C(CH₂P¹)(CH₂P²)-CH₂OCH₂-C(CH₂P³)(CH₂P⁴)CH₂P⁵ Ih



5 wherein

alkyl is straight-chain or branched alkylene with 0 to 12 C atoms which may be unsubstituted, mono- or polysubstituted by halogen or CN, it being also possible for one or more non-adjacent CH_2 groups to be replaced, in each case independently from one another, by -O-, -S-, -NH-, -N(CH₃)-, -CO-, -COO-, -OCO-, -OCO-O-, -S-CO-, -CO-S-, -CH=CH- or -C≡C- in such a manner that oxygen atoms are not linked directly to one another,

a and b are identical or different integers from 0 to 6,

X has one of the meanings of formula I, and

P^1 to P^5 independently have one of the meanings of P.

9. A multireactive polymerizable mesogenic compound according to claim 1, wherein alkyl is $-(\text{CH}_2)_c-$, with c being an integer from 0 to 12.

10. A multireactive polymerizable mesogenic compound according to claim 1, wherein each P is independently of each other acrylate, methacrylate, vinyl, vinyloxy, epoxy or p-vinylphenyloxy.

11. A polymerizable mesogenic composition comprising at least two components, wherein at least one component is a compound according to claim 1.

35

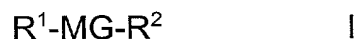
001060 " 91545350

12. A linear or crosslinked polymer obtained by polymerizing a polymerizable mesogenic compound according to claim 1.
- 5 13. A linear or crosslinked polymer obtained by polymerizing a polymerizable mesogenic compound according to claim 11.
- 10 14. A polarizer, optical retardation or compensation film, alignment layer, colour filter, holographic element, liquid crystal display, PDLC, polymer gel, polymer stabilized cholesteric texture (PSCT) display, adhesive, synthetic resin with anisotropic mechanical properties, cosmetic, diagnostic, liquid crystal pigment for decorative and/or security applications, or article for nonlinear optics or optical information storage comprising a compound according to claim 1.
- 15 15. A polarizer, optical retardation or compensation film, alignment layer, colour filter, holographic element, liquid crystal display, PDLC, polymer gel, polymer stabilized cholesteric texture (PSCT) display, adhesive, synthetic resin with anisotropic mechanical properties, cosmetic, diagnostic, liquid crystal pigment for decorative and/or security applications, or article for nonlinear optics or optical information storage comprising a composition according to claim 11.
- 20 16. A polarizer, optical retardation or compensation film, alignment layer, colour filter, holographic element, liquid crystal display, PDLC, polymer gel, polymer stabilized cholesteric texture (PSCT) display, adhesive, synthetic resin with anisotropic mechanical properties, cosmetic, diagnostic, liquid crystal pigment for decorative and/or security applications, or article for nonlinear optics or optical information storage comprising a polymer according to claim 12.
- 25 30 35

Abstract of the Disclosure

The invention relates to multireactive polymerizable mesogenic compounds of formula I

5



10

15

20

25

30

35

wherein R^1 , R^2 and MG have the meaning given in claim 1, to a polymerizable mesogenic composition comprising at least two components, at least one which is a compound of formula I, to a linear or crosslinked polymer obtainable by polymerization of one or more compounds of formula I or of a polymerizable composition comprising one or more compounds of formula I and to the use of a compound of formula I, or a polymerizable composition or polymer obtainable thereof, in optical elements such as polarizers, optical retardation or compensation films, alignment layers, colour filters or holographic elements, in liquid crystal displays such as PDLC, polymer gel or polymer stabilized cholesteric texture (PSCT) displays, in adhesives, synthetic resins with anisotropic mechanical properties, cosmetics, diagnostics or liquid crystal pigments, for decorative and security applications, and for nonlinear optics or optical information storage.

Docket No.
Merck 2155

Declaration and Power of Attorney For Patent Application English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Multireactive Polymerizable Mesogenic Compounds

the specification of which

(check one)

- ☐ is attached hereto.
☐ was filed on _____ as United States Application No. or PCT International
Application Number _____
and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

99 116 849.3
(Number)

EP
(Country)

03 September 1999
(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

(Application Serial No.)

(Filing Date)

I hereby claim the benefit under 35 U.S.C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States of PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112. I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C.F.R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

(Application Serial No.)

(Filing Date)

(Status)
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint

I. William Millen (Reg. No. 19,544)
John L. White (Reg. No. 17,746)
Anthony J. Zelano (Reg. No. 27,969)
Alan E.J. Branigan (Reg. No. 20,565)
John R. Moses (Reg. No. 24,983)
Harry B. Shubin (Reg. No. 32,004)
Brion P. Heaney (Reg. No. 32,542)
Richard J. Traverso (Reg. No. 30,595)

John A. Sopp (Reg. No. 33,103)
Richard M. Lebovitz (Reg. No. 37,067)
John H. Thomas (Reg. No. 33,480)
Catherine M. Joyce (Reg. No. 40,668)
James T. Moore (Reg. No. 35,619)
James E. Ruland (Reg. No. 37,432)
Nancy Axelrod (Reg. No. 44,014)
Jennifer J. Branigan (Reg. No. 40,921)

to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Send Correspondence to: MILLEN; WHITE; ZELANO & BRANIGAN, P.C.
Arlington Courthouse Plaza I
2200 Clarendon Blvd., Suite 1400
Arlington, VA 22201

Direct Telephone Calls to: (name and telephone number)

Full name of sole or first inventor	
Louise FARRAND	
Sole or first inventor's	Date
<i>L. Farrand</i>	August 28, 2000
Residence	
Manchester M41 7NT, Great Britain	
Citizenship	
British	
Post Office Address	
c/o MERCK KGaA; 64271 Darmstadt, Germany	

Full name of second inventor, if any	
David COATES	
Second inventor's signature	Date
David Coates	August 28, 2000
Residence	
Dorset BH21 3SW, Great Britain	
Citizenship	
British	
Post Office Address	
c/o MERCK KGaA, 64271 Darmstadt, Germany	